

06/11/01  
JCS25 U.S. PTO

6-13-01

A

Express Mail Label No.: EL728729836US

Date of Deposit: June 11, 2001

NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 18396/2005

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EL728729836US** on this date, **June 11, 2001**, postage prepaid, in an envelope addressed to Box: Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Kathleen Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

J1040 U.S. PTO  
09/879813  
06/11/01

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Enclosed herewith is a new patent application of:

First Named Inventor (or application identifier): Sale et al.

Title of Invention: "Method for Generating Diversity"

Type: ☐ New  
☐ Continuation of  
☒ Continuation-in-Part of: 09/828,717 filed on April 6, 2001  
☐ Divisional of:

and includes the following papers:

1. ☒ Specification 56 pages (including specification, claims, abstract)/44 claims (4 independent)
2. ☒ Declaration/Power of Attorney is:  
☐ attached ☐ executed ☐ unexecuted  
☒ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 27 Distinct sheets of ☐ Formal ☒ Informal Drawings
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement  
☐ Form 1449  
☐ A copy of each cited prior art reference
6. ☐ Assignment with Recordation Cover Sheet.
7. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
GB	9822104.7	9 October 1998
GB	9901141.3	12 January 1999
GB	9913435.5	9 June 1999

8. ☒ Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
US	PCT/GB99/03358	9 October 1999

9. ☐ Priority document(s).
10. ☒ Statement of Small Entity Status, Pursuant to 37 C.F.R. §1.27.
11. ☐ Microfiche Computer Program (Appendix).
12. ☐ Nucleotide and/or Amino Acid Sequence Submission.  
☐ Computer Readable Copy.  
☐ Paper Copy (identical to computer copy).  
☐ Statement verifying identity of above copies.
13. ☐ Request for Non-Publication and Certification under 35 USC 122 (b)(2)(B)(i)
14. Calculation of Fees:

FEES FOR	TOTAL CLAIMS	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))				\$710.00
Total Claims in <b>Excess of 20</b> (37 C.F.R. § 1.16(c))	44	24	\$18.00	\$432.00
Independent Claims in <b>Excess of 3</b> (37 C.F.R. § 1.16(b))	4	1	\$80.00	\$80.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	N		\$270.00	0.00
Subtotal – Filing Fee Due				\$1,222.00
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	MULTIPLY BY 0.50			\$611.00
<b>TOTAL FILING FEE DUE</b>				\$611.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	0		\$40.00	
<b>GRAND TOTAL DUE</b>				<b>\$611.00</b>

15. PAYMENT is:  
☐ included in the amount of \_\_\_\_\_ by our enclosed check. The Commissioner is hereby authorized to charge any deficiencies in the fees to Deposit Account 16-0085, Ref. \_\_\_\_\_  
☒ not included, but deferred under 37 C.F.R. § 1.53(f).
16. All correspondence for the attached application should be directed to:  
**Kathleen Williams**  
**Customer Number 27495**
17. Other: Application Data Entry Sheet

Respectfully submitted,

Date: June 11, 2001

By: \_\_\_\_\_

Name: Kathleen Williams  
Registration No.: 34,380

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Sale, et al.  
Serial No.: Not Yet Assigned  
Filed: June 11, 2001  
Entitled: "Method for Generating Diversity"

Examiner Not Yet Assigned

Group Art Unit: Not Yet Assigned

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EL728729836US** on this date, **June 11, 2001**, postage prepaid, in an envelope addressed to Box: Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Enclosed for filing in the above-identified patent application, please find the following documents:

1. Application Transmittal;
2. Specification, (56 pages);
3. (27) sheets of informal drawings;
4. Application Data Entry Sheet (3 pages); and
5. Return Post Card.

Pursuant to 37 C.F.R. § 1.27, Applicant claims small entity status. Payment of the requisite filing fee has been deferred under 37 C.F.R. §1.53(f).

Respectfully submitted,

Date: June 11, 2001

Name: Kathleen Williams  
Registration No.: 34,380  
Palmer & Dodge LLP  
One Beacon Street  
Boston, MA 02108  
Tel: 617-573-0100

FOI b7D b7E b7F b7G b7H b7I b7J b7K b7L b7M b7N b7O b7P b7Q b7R b7S b7T b7U b7V b7W b7X b7Y b7Z